

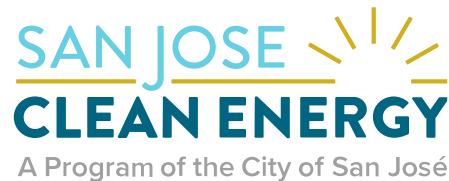
Joint CCA

Request for Proposal for

Joint CCA Resource Adequacy Portfolio

Management Services

January 18, 2022



Project Overview

East Bay Community Energy (EBCE), Central Coast Community Energy (3CE), Peninsula Clean Energy (PCE), San Jose Clean Energy (SJCE), and Silicon Valley Clean Energy (SVCE), (collectively, the “Joint CCAs”), are requesting proposals from service providers to provide Joint CCA RA Portfolio Management Services for Resource Adequacy (RA) procurement (“RA Portfolio Management Services”).

The Joint CCAs are seeking RA Portfolio Management Services to obtain economies of scale by aggregating each CCA’s RA obligations, minimizing market inefficiencies and eliminating the extraordinary amount of time spent by each CCA on satisfying their year-ahead and month-ahead RA obligations.

RA Portfolio Management Services

1. Create Individual and Joint CCA RA portfolio (rolling 5 years)
 - Forecast each CCA’s forward RA requirements
 - Determine each CCA’s residual monthly and annual RA position(s) - System, Flexible and Local, if applicable
 - Aggregate Joint CCA RA needs
 - Aggregate Transfer Capability Rights
 - Provide Branch Group recommendations
 - Participate in the CAISO annual process for transfer capability rights
 - Aggregate known resource outages and the RA substitution needs
 - Provide each CCA with a spreadsheet of their respective RA requirements, inclusive of RA transactions, CCA load forecast, and CPUC allocations. Update as needed with revised CPUC allocations
 - Provide a rolling 3-year price forecast for all RA products
2. Manage and monitor the Joint CCA RA portfolio to ensure compliance for Month-Ahead Showings and Year-Ahead Showings
3. Create and issue Joint CCA RA competitive solicitations to close the Joint CCAs aggregate open positions
 - Provider will need to issue several solicitations throughout the year
 - Determine which of the Joint CCAs will participate in each solicitation
 - Create bid/offer form
 - Any solicitation can be as simple as an email solicitation
 - Maintain a list of qualified generators and counterparties in and around the CAISO for distribution of each solicitation
 - Solicit LSEs to determine available import transfer capability rights
4. Conduct regular bilateral outreach to brokers and suppliers of RA to close the Joint CCAs aggregate open positions
5. Provide recurring reports to Joint CCAs summarizing outcomes from competitive solicitations and bilateral outreach, identifying the counterparty involved product, pricing, and any other relevant commercial terms.
6. Propose trades/reallocations within the Joint CCA RA portfolio to close individual CCA RA needs
 - Find opportunities for CCA’s to outright purchase, sell or swap RA products to close individual needs using a least-cost and best-fit methodology
 - Recommend transaction prices using broker and market pricing

7. Report the results of the competitive solicitation(s) and bilateral outreach to the participating CCAs and work with the participating CCAs to determine what actions will be taken.
 - Create and lead an allocation methodology to allocate volumes from available RA offers to individual CCAs
8. Track the RA substitution needs for resource outages, find and recommend opportunities within the pool to help cover substitution needs
 - Review POSO Requirements in CIRA as necessary
 - Coordinate position to allow for Substitution transactions and communicate with Suppliers as necessary.
9. Provide declaration and supporting solicitation materials for Joint CCA local waivers, if needed
10. Provider must be able to adopt and adapt to changes in the RA market and requirements
11. Central Procurement Entity Service - The CPUC adopted a Central Procurement Entity to procure local RA needs for all load serving entities. The Bidder will need to provide the following services and communications;
 - Support Joint CCAs in bidding or showing existing Local RA contracts into Central Procurement Entity's procurement auction
 - Integrate Central Procurement Entity local RA allocations and shown RA into each CCA's position
 - Forecast different scenarios to estimate future allocations from the Central Procurement Entity for each CCAs RA portfolio
 - Initiate procurement of local RA replacement for shown RA as necessary.

Regulatory/Compliance Services

(Price per CCA, primarily Central Coast Community Energy, Peninsula Clean Energy, and Silicon Valley Clean Energy are interested in this service, however, all 5 CCAs would like to see an offer)

1. Be the main point of contact with the CPUC and CEC regarding RA requirements
2. Create, maintain, and file year-ahead and month-ahead RA templates to the CPUC and coordinate with each CCA's scheduling coordinator to submit supply plans to the CAISO
3. Resolve supply plan validation errors
4. Submit historical load info to the CPUC
5. Submit load forecast data to the CEC, CPUC, and IOU(s)
6. Notify the Joint CCA's of any changes to RA processes or market rules with the CAISO and regulatory bodies
7. Other regulatory and compliance services related to RA filings with the CPUC, CEC, and CAISO

Fee Schedule

- Bidder should propose to the Joint CCAs a 2-year and 3-year fixed fee proposal with no annual escalator for RA Portfolio Management Services
- Bidder should propose to each individual CCA (***priced per CCA***) a 2-year and 3-year fixed fee proposal with no annual escalator for Regulatory and Compliance Services.

Proposal Requirements

In order to be considered, all proposals must be submitted by the deadlines listed in the RFP schedule included herein. All proposals must include the following:

- RFP Bidder's Response
- Draft agreement

When completing the RFP Bidder's response, Bidders must include the following detail:

- Background and Experience
- Understanding of CCA's
- Develop a process to deliver the scope of work
 - The scope of work represents the Joint CCAs idea of how the RA Portfolio Management Services would work. In your own words, please describe the process you would use when delivering this service. The following are a few details to consider;
 - Approach to aggregating the RA needs of the CCAs
 - Approach to RA solicitations
 - Approach to bilateral outreach
 - Approach to aggregating RA substitution needs
 - Approach to allocating RA amounts and costs to individual CCAs
 - Approach to contracting for RA for multiple CCAs
 - Approach to participating in third party solicitations
 - Process to determine how a participating CCA of this service would competitively sell and price RA to other participating CCAs
 - Approach to Regulatory/Compliance Services
 - Approach to managing competing interests of Bidder's internal or other non-participating client's RA needs
 - Ability to pivot to providing portfolio management services under a new slice of day framework
- Describe how the Bidder will adhere to Anti-trust and collusion laws while providing this service to the Joint CCAs
- Describe how the Bidder will avoid conflicts of interest with other power providers while providing this service to the Joint CCAs.
- Name, position, and short biography of employee(s) responsible for providing this Service
- Three references for similar services
- Fee Schedule

Evaluation of Proposals

The joint CCAs will evaluate all proposals based on the following criteria;

- Experience in the Western Markets with RA procurement and market operations
- Process used to provide services
- Ability to identify and procure large volumes of RA
- Fee Schedule

- Contract Terms and Conditions

The Joint CCA’s may, or may not, negotiate contract terms with selected proposers prior to award, and expressly reserves the right to negotiate with several proposers simultaneously and, thereafter, to award a contract to the proposer(s) offering the most favorable terms to the Joint CCA’s. Proposals submitted, therefore, should contain the proposers’ most favorable terms and conditions, as the selection and tentative award may be made without further discussion with any proposer.

The Joint CCA’s reserve the right to accept or reject any and all submitted proposals, to waive minor irregularities, modify services, and to request additional information or revisions to offers, and to negotiate with any or all proposers at any stage of the evaluation.

RFP Timeline

Proposers must submit one (1) electronic copy of the proposal by 2/15/2022 at 5:00 p.m. Pacific Time. Proposals must be e-mailed to charles.grinstead@svcleanenergy.org, with the subject line: “Joint CCA RA Portfolio Management Services.”

Any proposal received after this due date and time will be rejected. The due date is subject to change. If the due date is changed, all known recipients of the original RFP will be notified, in writing, of the new date and notice will be posted to our website at least three days before the revised due date.

Questions about the RFP must be submitted by email only to the following email address: charles.grinstead@svcleanenergy.org. Questions will not be accepted by phone. Responses to any questions will be made available in writing, online (<https://www.svcleanenergy.org/solicitations/>), and by email to all parties who have notified the Joint CCAs of an interest in responding to the RFP. Deadline to submit questions is 2/1/22 at 5:00 p.m. PPT. All potential Proposers are responsible for checking the website for any addenda or written responses to questions received. Potential Proposers may only rely on written responses to questions, no oral representations shall be binding on the Joint CCA’s.

Selection Timeline:	
RFP issued:	January 18, 2022
Bidder’s Conference Call:	January 25, 2022 at 10:00a.m. PPT
Deadline to submit questions:	February 1, 2022 at 5:00 p.m. PPT
SVCE posts responses to questions:	February 8, 2022
Electronic proposals received by:	February 15, 2022 at 5:00 p.m. PPT
Finalists alerted:	March 1, 2022
Interviews:	March 7-10, 2022
Project awarded:	March 15, 2022
Contract Negotiations:	April 2022

Desired Project Timeline:	
Create Joint CCA Portfolio:	May 1, 2022 to May 15, 2022
Issue first multi-year RFO:	May 2022

Issue 2023 RFO after CPUC identifies needs:	August 2022
Continue discussions with counterparties until all needs are met or supply is exhausted:	August 2022 to October 2022

Joint CCA Legal Obligations

The Joint CCAs are not obligated to respond to any offer submitted as part of the RFP.

The Parties acknowledge that the Joint CCAs are public agencies subject to the requirements of the California Public Records Act set forth at Cal. Gov. Code section 6250 et seq. The Joint CCAs acknowledge that Proposers may submit information to the Joint CCAs that Proposers considers confidential, proprietary, or trade secret information pursuant the Uniform Trade Secrets Act (Cal. Civ. Code section 3426 et seq.), or otherwise protected from disclosure pursuant to an exemption to the California Public Records Act (Government Code sections 6254 and 6255).

Any page of the proposal package that is deemed by Proposer to be confidential or contain trade secrets by the Proposer shall be clearly marked “CONFIDENTIAL INFORMATION” or “PROPRIETARY INFORMATION” at the top of the page. The Joint CCAs will not disclose confidential or proprietary information to third parties, unless required by law; however, the Joint CCAs cannot guarantee that such information will be held confidential.

Upon request or demand of a member of the public for production, inspection and/or copying of information designated by a Proposer as “CONFIDENTIAL INFORMATION” or “PROPRIETARY INFORMATION”, the Joint CCAs as soon as practical but within three (3) business days of receipt of the request, shall notify the Proposer that such request has been made. The Proposer shall be solely responsible for seeking an appropriate court order or injunction prohibiting disclosure of the information to the public. Proposer shall defend, indemnify, and hold the Joint CCAs harmless from all claims, actions, liabilities, and losses arising from any third-party challenge to the withholding of information from public disclosure. If the Proposer takes no such action to seek an appropriate court order or injunction, within fifteen (15) days after receiving the foregoing notice from the Joint CCA’s (or refuses to execute an indemnity agreement in a form acceptable to the Joint CCAs), the Joint CCAs shall be permitted to comply with the request for public disclosure demand and is not required to defend against it.

No proposal shall be binding upon the Joint CCAs except pursuant to a written agreement signed by the Joint CCAs and the Proposer. The Joint CCAs will not be liable at any time for any costs the Proposer may incur in preparing or submitting its response to this RFO.

Proposals submitted in response to this RFP are public documents. With the exception of any Confidential Information that is legally exempt from disclosure, the Joint CCAs are obligated to disclose such proposals if requested (including by competitors). The Joint CCAs will also disclose any winning proposal at a public meeting of the Board of Directors.

If an unsuccessful Proposer wants to dispute an award or award recommendation, a protest must be submitted in writing to Charles Grinstead, no later than ten (10) calendar days after announcement of the successful Proposer, detailing the grounds, factual basis and providing all supporting information. Protests will not be considered for disputes on the grounds that material provision in this RFP is ambiguous. Failure to submit a timely written protest to the contact listed below will bar consideration of the protest.

The address for submitting protests is:

70 Garden Ct, Ste 300
Monterey, CA 93940

Please submit an electronic version of the protest to charles.grinstead@svcleanenergy.org.